

Specialty Lines

UNDERWRITERS

1233 North Mayfair Road, Suite 208 • Milwaukee, WI 53226
Phone: 414-778-3560 • Fax: 414-778-3598

CONTRACTORS AND CONSULTANTS APPLICATION

Please submit the following information in addition to this application:

- 1) ACORD – Commercial General Liability Section application (Note: only if General Liability coverage is requested).
- 2) Three years currently valued loss runs for those lines of business that coverage is being requested.
- 3) Two years financials including balance sheet and income statement.
- 4) Resumes / certifications / licenses of all key personnel.
- 5) List of 10 recently completed projects – Please complete the Project Description Supplemental Page at the end of this application.
- 6) Company Standard Operating Procedures (SOP).
- 7) Brochures, copies of guarantees, warranties & hold harmless agreements furnished by the Named Insured.
- 8) Sample contracts used.

This application must be signed and dated by an authorized Owner, Partner, Officer, Director or Risk Manager of the first Named Insured.

APPLICANT INFORMATION

Named Insured(s):

| | | | | |
|-----------------|---------------|-----------|---------------|-------------|
| Street address: | City / State: | Zip code: | Phone number: | Fax number: |
|-----------------|---------------|-----------|---------------|-------------|

| | |
|---|------------------|
| Mailing address if different from above (of first named insured): | Website address: |
|---|------------------|

FEIN:

| | | |
|-----------------|---------------|-----------|
| Street address: | City / State: | Zip code: |
|-----------------|---------------|-----------|

| | |
|-----------------|------------------------------|
| Contact E-mail: | Contact name & phone number: |
|-----------------|------------------------------|

Year business started operations:

Is applicant a subsidiary of another entity? Yes No If yes, what entity?

Applicant operates as an:

Individual Corporation Partnership Joint Venture LLC Other (Describe):

COVERAGE REQUESTED

Check the box that applies: Environmental Combined Policy (GL, CPL & ECL) Environmental Consultants Liability (ECL) only
 Contractors Pollution Liability (CPL) only Contractors & Consultants Policy (CPL & ECL) combined

Limits of Insurance Requested: Each Occurrence/Claim \$ Aggregate \$ Deductible/SIR \$

Proposed Effective date: Proposed Expiration date:

EXPIRING INSURANCE PROGRAM

| General Liability | | Contractors Pollution Liability | | Professional Liability | |
|-------------------------------------|--------------------------------------|-------------------------------------|--------------------------------------|-------------------------------|--|
| None <input type="checkbox"/> | | None <input type="checkbox"/> | | None <input type="checkbox"/> | |
| Occurrence <input type="checkbox"/> | Claims Made <input type="checkbox"/> | Occurrence <input type="checkbox"/> | Claims Made <input type="checkbox"/> | Claims Made | |
| Carrier: | | Carrier: | | Carrier: | |
| Limits: | | Limits: | | Limits: | |
| Deductible / SIR: | | Deductible / SIR: | | Deductible / SIR: | |
| Premium: | | Premium: | | Premium: | |
| Effective Dates: | | Effective Dates: | | Effective Dates: | |
| Retroactive Date: | | Retroactive Date: | | Retroactive Date: | |

COMPANY HISTORY

| | |
|---|--|
| Has any Insurer ever cancelled, restricted or refused to renew your policy or any coverage in the past 5 years? If yes, please explain: | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Does applicant have any subsidiaries or related entities not listed above? If yes, please describe your obligations for past, present & future liabilities: | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Has applicant, or any affiliated, related or predecessor entity ever been (or is currently) the subject of bankruptcy, reorganization, solvency, dissolution, or other debtor related proceeding, or has it made an assignment for the benefit of creditors? If yes, please details: | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Have there been any mergers/acquisitions, consolidations or divestitures? If yes, please describe your obligations for past, present & future liabilities: | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Has this account ever operated under a different name? If yes, please describe your obligations for past, present & future liabilities: | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Please describe any operations or services that have been discontinued, sold or abandoned or any operations that have been acquired: | |

REVENUE HISTORY

| Year | Total Gross Revenues (\$) | Payroll (\$) | Employees (#) |
|--------------|---------------------------|--------------|---------------|
| Projected | \$ | \$ | |
| Expiring | \$ | \$ | |
| First Prior | \$ | \$ | |
| Second Prior | \$ | \$ | |

OPERATIONS AND SERVICES

| ENVIRONMENTAL CONTRACTING OPERATIONS <input type="checkbox"/> Check here if this section does not apply | Projected Gross Revenues | Projected Subcontracted Revenues | Projected Payroll |
|--|--------------------------|----------------------------------|-------------------|
| Asbestos Abatement | \$ | \$ | \$ |
| AST Cleaning/Maintenance | \$ | \$ | \$ |
| AST Installation | \$ | \$ | \$ |
| Bioremediation | \$ | \$ | \$ |
| Emergency Response/Haz Mat Cleanup | \$ | \$ | \$ |
| Environmental Drilling | \$ | \$ | \$ |
| Fire and Water Restoration | \$ | \$ | \$ |
| Groundwater Remediation | \$ | \$ | \$ |
| Industrial Cleaning | \$ | \$ | \$ |
| Labpacking/Drum Handling | \$ | \$ | \$ |
| Landfill Operation/Maintenance | \$ | \$ | \$ |
| Landfill Liner Installation | \$ | \$ | \$ |
| Lead Abatement | \$ | \$ | \$ |
| Medical Waste Pickup | \$ | \$ | \$ |
| Mold/Fungus Abatement – Commercial Please Complete Mold/Fungus Section Below | \$ | \$ | \$ |
| Mold/Fungus Abatement – Residential Please Complete Mold/Fungus Section Below | \$ | \$ | \$ |
| PCB Removal | \$ | \$ | \$ |
| Pesticide/Herbicide Application | \$ | \$ | \$ |
| Pipeline Cleaning/Installation | \$ | \$ | \$ |
| Sampling | \$ | \$ | \$ |
| Septic Tank Cleaning | \$ | \$ | \$ |

| | | | |
|---|---------------------------------|---|--------------------------|
| Soil Excavation – petroleum | \$ | \$ | \$ |
| Soil Excavation – other (explain): | \$ | \$ | \$ |
| Soil Remediation | \$ | \$ | \$ |
| UST Installation | \$ | \$ | \$ |
| UST Removal | \$ | \$ | \$ |
| Water Treatment Plant Operation/Maintenance | \$ | \$ | \$ |
| Wastewater Treatment Plant Operation/Maintenance | \$ | \$ | \$ |
| Wetlands Contracting | \$ | \$ | \$ |
| Other (explain): | \$ | \$ | \$ |
| NON-ENVIRONMENTAL CONTRACTING OPERATIONS <input type="checkbox"/> Check here if this section does not apply | Projected Gross Revenues | Projected Subcontracted Revenues | Projected Payroll |
| Carpentry | \$ | \$ | \$ |
| Concrete | \$ | \$ | \$ |
| Demolition above 3 stories | \$ | \$ | \$ |
| Demolition below 3 stories | \$ | \$ | \$ |
| Demolition - Interior | \$ | \$ | \$ |
| Dredging | \$ | \$ | \$ |
| Electrical | \$ | \$ | \$ |
| HVAC | \$ | \$ | \$ |
| Maintenance/Janitorial | \$ | \$ | \$ |
| Metal Erection | \$ | \$ | \$ |
| Non-Environmental Drilling | \$ | \$ | \$ |
| Painting | \$ | \$ | \$ |
| Pile Driving | \$ | \$ | \$ |
| Plumbing - Commercial | \$ | \$ | \$ |
| Plumbing - Residential | \$ | \$ | \$ |
| Roofing - Commercial | \$ | \$ | \$ |
| Roofing - Residential | \$ | \$ | \$ |
| Soil Excavation/Grading | \$ | \$ | \$ |
| Street & Road Cleaning | \$ | \$ | \$ |
| Street & Road Construction | \$ | \$ | \$ |
| Tunneling | \$ | \$ | \$ |
| Utility Contracting | \$ | \$ | \$ |
| Other (explain): | \$ | \$ | \$ |
| TOTAL FOR ALL CONTRACTING OPERATIONS | \$ | \$ | \$ |
| PROFESSIONAL SERVICES <input type="checkbox"/> Check here if this section does not apply | Projected Gross Revenues | Projected Subcontracted Revenues | Projected Payroll |
| Analytical Laboratories | \$ | \$ | \$ |
| Asbestos and/or Lead Consulting | \$ | \$ | \$ |
| AST Testing | \$ | \$ | \$ |
| Building Materials Testing | \$ | \$ | \$ |
| Civil/Structural Engineering | \$ | \$ | \$ |
| Environmental Consulting | \$ | \$ | \$ |
| Environmental Training | \$ | \$ | \$ |
| Eyewitness Testimony/Litigation | \$ | \$ | \$ |
| General Consulting | \$ | \$ | \$ |
| Geotechnical Engineering | \$ | \$ | \$ |
| Groundwater Monitoring | \$ | \$ | \$ |
| Hydrogeological Investigations | \$ | \$ | \$ |
| Industrial Hygiene/Health & Safety | \$ | \$ | \$ |
| Mold/Fungus Assessments/Testing/Consulting – Commercial Please Complete Mold/Fungus Section Below | \$ | \$ | \$ |
| Mold/Fungus Assessments/Testing/Consulting – Residential Please Complete Mold/Fungus Section Below | \$ | \$ | \$ |
| Phase I Environmental Assessments | \$ | \$ | \$ |

What percentage of your work is attributed to residential/habitational work? %

Describe your firm's use of water misting as a method of mold / fungus spore release control during remediation or testing:

If existing moisture problems (such as leaks, flooding, sewer backups, structural deficiencies, humidity problems) are encountered during the performance of your operations, how is this situation handled and documented?

What mold / fungus guidelines do you adhere to in the performance of abatement and/or assessments?

Are your subcontractors and/or subconsultants required to provide evidence of mold / fungus insurance? Yes No
If yes, please provide limits required:

Do you state to the client, both verbally and written within your service contract that mold / fungus problems may reoccur if the moisture problem is not resolved? Yes No

Do you perform air quality testing prior to, during and after remediation? If yes, who performs the testing? Yes No

The following must be submitted in addition to this signed application for review prior to quoting mold / fungus coverage:

- Statement of Qualification and/or resumes for all personnel performing Mold / Fungus Operations and/or Services.
- Mold / Fungus training certificates for all personnel performing Mold / Fungus Operations and/or Services.
- Details of any mold / fungus losses or claims in the past 3 years.
- Copy of the insured's mold / fungus remediation service contract. The contract must provide detailed scope of services and must not state any warranties or guarantees of mold / fungus work performed.
- Written company mold / fungus - Standard Operating Procedures (SOP).
- List of 10 most recent mold projects performed.

GENERAL INFORMATION

Does the applicant own, operate or lease a water treatment, wastewater treatment, storage or disposal facility? Yes No

Does the applicant perform operations / services in the state of New York? Yes No
If yes, what percentage is performed in the 5 boroughs? %

Does the applicant or any other person or organization for which the applicant is or may be liable, currently or in the past, manufacture, sell, lease or distribute any product? If yes, please explain: Yes No

Does the applicant or any other person or organization for which the applicant is or may be liable, currently or in the past, develop, design, redesign, or lease computer software or equipment or provide computer consulting activities? Yes No
If yes, please explain:

Does any one project represent more than 25% of your revenue? Yes No
If so, please describe:

Total number of staff

| | |
|--|---|
| Architects or Environmental Engineers: | Draftsmen, Technicians, Inspectors, Surveyors: |
| General Engineers other than above: | Clerical and Accounting Employees: |
| Geologists or Hydro geologists: | Administrative Management: |
| Industrial Hygienists, CIHs or CSPs: | Other: |
| Project Mangers: | Number of Principals (included in listing above): |

Do you engage in any work outside of the U.S.? If yes, what percentage? % Yes No

List below all states within which you operate, the operations and/or services performed and the percentage of work performed in each state:

| State/Country | Operations and/or Services Performed | Percentage of work performed % |
|---------------|--------------------------------------|--------------------------------|
| | | % |
| | | % |
| | | % |
| | | % |

BUSINESS PRACTICES

Please complete the Project Description – Supplemental Page attached at end of this application.

Do you ever perform Contracting Operations or Professional Services within 50' of a railroad? Yes No

Does your firm have any aircraft or watercraft exposures? Yes No
If yes, please describe:

Does your firm have written quality control procedures? Yes No
If yes, please include the table of contents with this application.

Does your firm have an in-house continuing education program? Yes No
If yes, please describe:

| | | |
|---|------------------------------|-----------------------------|
| Do you have a written formal health and safety program in place? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Do you engage in any operations, involving Exterior Insulation and Finishing Systems (EIFS)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Do you utilize the ASTM – 1527 standard Protocol for Audits/Assessments? If not, please attach a sample copy of your contract. | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Do you provide written warranties for your work? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

CLAIMS

| | | |
|--|------------------------------|-----------------------------|
| Have any claims been made within the past 3 years against the applicant or reported under any Commercial General Liability, Contractors Pollution Liability, or Professional Liability policies? If yes, please provide details: | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Are you aware of any fact, circumstance or situation which could result in a claim being made against you or any other entity for which coverage is being requested? If yes, please provide details (use additional paper if necessary): | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| Has any staff member or employee been the subject of disciplinary action by authorities as a result of Contracting Operations or Professional Services? If yes, describe: | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

FRAUD WARNING

NOTICE TO ARKANSAS APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO CALIFORNIA APPLICANTS: For your protection California law requires the following to appear on this form: Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud or deceive any insurance company files a statement of claim containing any false, incomplete or misleading information is guilty of a felony of the third degree.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

NOTICE TO LOUISIANA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO MAINE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

NOTICE TO MARYLAND APPLICANTS: Any person who knowingly and willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly and willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NOTICE TO NEW MEXICO APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

NOTICE TO NEW YORK APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

NOTICE TO TENNESSEE APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO VIRGINIA APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO WASHINGTON APPLICANTS: It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purposes of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits

NOTICE TO ALL OTHER STATE APPLICANTS: Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or, for the purpose of misleading, conceals information concerning any fact material thereto, may commit a fraudulent insurance act which is a crime in many states.

The applicant represents that the above statements and facts are true and that no material facts have been suppressed or misstated.

Completion of this form does not bind coverage. Applicant's acceptance of the company's quotation is required prior to binding coverage and policy issuance.

All written statements and materials furnished to the company in conjunction with this application are hereby incorporated by reference into this application and made a part hereof.

Applicant: _____ Title: _____

Applicant's Signature: _____ Date: _____

Agent / Broker Name: _____

The applicant further acknowledges that the answers provided herein are based on a reasonable inquiry and/or investigation.

PROJECT DESCRIPTION - SUPPLEMENTAL PAGE

1 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

2 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

3 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

4 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

5 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

6 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

7 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

8 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

9 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$

10 Project Name/Client:

Services Provided:

Value of Completed Project Gross Revenue: \$